

RECEIPT

FILE COPY

PATENT

Attorney Docket No.: 8321-68



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

AUG 02 2000

TECH CENTER 1000/2900

On re: Patent application of  
Ziwei Huang *et al.*  
Serial No.: 09/544,664  
Filed: April 6, 2000  
For: ENHANCEMENT OF PEPTIDE  
CELLULAR UPTAKE  
Group Art Unit:  
1636  
Examiner: Not Yet Assigned

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data which is:

- ☒ incorrectly entered
- ☐ omitted

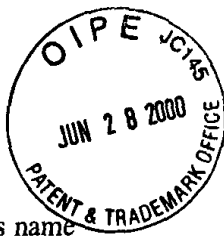
\* \* \* \*

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

Date of Deposit: June 23, 2000

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated above, with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Katrina Lynn  
(Signature of person mailing paper)

**ERROR IN****CORRECT DATA**

- |    |                                     |                                   |  |
|----|-------------------------------------|-----------------------------------|--|
| 1. | <input type="checkbox"/>            | Applicant's name                  | 1.   |
| 2. | <input checked="" type="checkbox"/> | Applicant's address               | 2. The residence of the fourth inventor is incorrect. It should be Voorhees, New Jersey. |
| 3. | <input type="checkbox"/>            | Title                             | 3.   |
| 4. | <input type="checkbox"/>            | Filing Date                       | 4.   |
| 5. | <input type="checkbox"/>            | Serial Number                     | 5.   |
| 6. | <input type="checkbox"/>            | Foreign/PCT Application Reference | 6.   |
| 7. | <input checked="" type="checkbox"/> | Other                             | 7. Filing fee received should be \$587.00  |

In support of the request for the correction of inventors' names on the Official Filing Receipt, Applicant has enclosed the following:

1. Copy of the unsigned Declaration and Power of Attorney;
2. Copy of Patent Application Transmittal Letter;
3. Copy of Official Filing Receipt.

It is understood that since the error occurred at the U.S. Patent and Trademark Office no fee should be required at this time.

Respectfully submitted,  
ZIWEI HUANG *ET AL.*

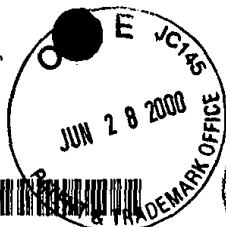
BY:

DANIEL A. MONACO  
Registration No. 30,480  
SEIDEL, GONDA, LAVORGNA  
& MONACO, P.C.  
1800 Two Penn Center Plaza  
Philadelphia, PA 19102  
Tel: 215-568-8383  
Fax: 215-568-5549  
Attorney for Applicants

## FILING RECEIPT



\*OC000000005184613\*

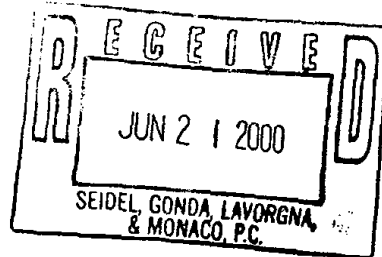
UNITED STATES DEPARTMENT OF  
COMMERCE

## Patent and Trademark Office

Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/544,664	04/06/2000	1636	543	8321-68	6	42	2

Daniel A Monaco  
Seidel Gonda Lavorgna & Monaco PC  
1800 Two Penn Center  
Philadelphia, PA 19102



Date Mailed: 06/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Ziwei Huang, Philadelphia, PA ;  
Jialun Wang, Cherry Hill, NJ ;  
Zhijia Zhang, Cherry Hill, NJ ;  
Simei Shan, ~~Cherry Hill, NJ~~ Voorhees, NJ  
Zhixian Lu, Voorhees, NJ ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/128,202 04/07/1999

## Foreign Applications

If Required, Foreign Filing License Granted 06/17/2000

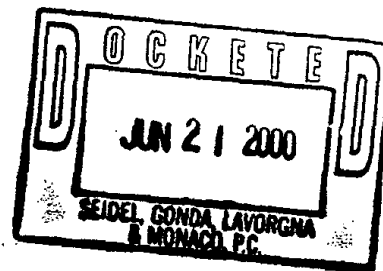
\*\* SMALL ENTITY \*\*

## Title

Enhancement of peptide cellular uptake

## Preliminary Class

435



Data entry by : MEREDITH, WANDA

Team : OIPE

Date: 06/19/2000



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231

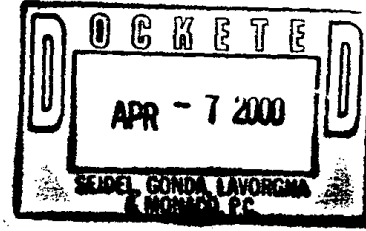
**PATENT APPLICATION TRANSMITTAL LETTER**

In re: Application of:  
Ziwei Huang, Jialun Wang, Zhijia Zhang, Simei Shan and Zhixian Lu

Filed: Concurrently Herewith

For: Enhancement of Peptide Cellular Uptake

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATIONS  
WASHINGTON, D.C. 20231



Dear Sir:

Enclosed are the following documents:

- ☒ 42 pages of specification and claims and 1 page Abstract.
- ☒ 6 sheets of formal drawings.
- ☐ Assignment and transmittal letter.
- ☒ Declaration and Power of Attorney (unsigned and attached to application).
- ☒ Verified Statement Claiming Small Entity Status - Nonprofit Organization.
- ☐ Preliminary Amendment ( pgs).
- ☒ Statement Pursuant to 37 CFR §1.821(f).
- ☐ Information Disclosure Statement with Substitute PTO-1449 (in dupl) and \_\_\_\_ references.
- ☒ Sequence Listing in paper form (21pages) and on diskette.

The filing fee has been calculated as shown below:

CLAIMS AS FILED	HIGHEST NO. PAID FOR	PRESENT EXTRA
TOTAL	42 - 20 =	22
INDEP	2 - 3 =	0
<input type="checkbox"/> Multiple Dependent Claim Presented		

SMALL ENTITY RATE	FEE
	\$345
x 11 =	242
x 40 =	0
+130	
TOTAL	\$587.00

OTHER THAN SMALL ENTITY	FEE
	\$690
x 22 =	0
x 80 =	0
+260=	
TOTAL	\$ 0

\* \* \* \* \*

**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10**

EXPRESS MAIL Mailing Label Number: EL469957429US Date of Deposit: 6 April 2000

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service, "EXPRESS MAIL - POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C., 20231.

Lynne Webb  
(Name of person mailing paper)

Lynne Webb  
(Signature of person mailing paper)



- ☒ Please charge my Deposit Account No. 19-1135 in the amount of \$ 587.00. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fee associated with this communication or credit any overpayment to Deposit Account No. 19-1135. A duplicate copy of this sheet is enclosed.
- ☒ Any filing fees under 37 CFR 1.16 for the preparation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during pendency of this application or credit any overpayment to Deposit Acct. No. 19-1135. A duplicate copy of this sheet is enclosed.
- ☐ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Issue Fee set in 37 CFR §1.18 or before mailing of the Notice of Allowance, pursuant to 37 CFR §1.31(b).

DANIEL A. MONACO  
Registration No. 30,480  
SEIDEL, GONDA, LAVORGNA  
& MONACO, P.C.  
1800 Two Penn Center  
Philadelphia, PA 19102  
Telephone No.: (215) 568-8383  
Facsimile No.: (215) 568-5549

Attorney for Applicants